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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/939,518	08/24/2001	Mark J. Jaroszeski	93004	2429
21901 SMITH HOPE	7590 06/14/2007 N, PA		EXAMINER	
180 PINE AVE	ENUE NORTH		ANGELL, JON E	
OLDSMAR, F	L 340//		ART UNIT	PAPER NUMBER
		,	1635	
			MAIL DATE	DELIVERY MODE
			06/14/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application No.	Applicant(s)			
Office Action Summary		09/939,518	JAROSZESKI ET AL.			
		Examiner	Art Unit			
		J. Eric Angell	1635			
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
WHIC - Exte after - If NC - Failu Any	ORTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DANSIONS of time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. O period for reply is specified above, the maximum statutory period were to reply within the set or extended period for reply will, by statute, reply received by the Office later than three months after the mailing ed patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION (a) In no event, however, may a reply be will apply and will expire SIX (6) MONTHS for a cause the application to become ABANDO	ION. e timely filed from the mailing date of this communication. DNED (35 U.S.C. § 133).			
Status .						
1)⊠	Responsive to communication(s) filed on <u>02 May 2007</u> .					
	This action is FINAL. 2b) ☐ This action is non-final.					
3)	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
Disposit	ion of Claims					
 4) Claim(s) 1,2,6,8,10,21,22 and 24-28 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) is/are allowed. 6) Claim(s) 1,2,6,8,10,21,22 and 24-28 is/are rejected. 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/or election requirement. 						
Applicat	ion Papers					
10)	The specification is objected to by the Examine The drawing(s) filed on is/are: a) acc Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct The oath or declaration is objected to by the Ex	epted or b) objected to by the drawing(s) be held in abeyance. ion is required if the drawing(s) is	See 37 CFR 1.85(a). s objected to. See 37 CFR 1.121(d).			
Priority :	under 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received.						
Attachmer		n □	(PTO 442)			
2) Notice 3) Infor	ce of References Cited (PTO-892) ce of Draftsperson's Patent Drawing Review (PTO-948) rmation Disclosure Statement(s) (PTO/SB/08) er No(s)/Mail Date	4) Interview Summ Paper No(s)/Ma 5) Notice of Inform 6) Other:				

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 5/2/07 has been entered.

Claims 1, 2, 6, 8, 10, 21, 22, 24-28 are currently pending and are addressed herein.

Applicant's arguments are addressed on a per section basis. The text of those sections of Title 35, U.S. Code not included in this Action can be found in a prior Office Action. Any rejections not reiterated in this action have been withdrawn as being obviated by the amendment of the claims and/or applicant's arguments.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1, 2, 6, 8, 10, 21, 22, 24-28 are rejected under 35 U.S.C. 102(e) as being anticipated by U.S. 6,678,558 B1 (Dimmer et al.).

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Dimmer teaches a method for facilitating the delivery of a desired molecule into a target tissue consisting essentially of introducing a molecule into a target tissue comprising a cell, applying an electric field to the target tissue wherein the application of the electric field consists of a single continuous electric field (claims 1, 21) or a plurality of substantially continuous electric fields (claim 24) in the range of 1mV/cm to 200V/cm applied for a duration of 200ms to 20 minutes and effecting a change in porosity of the cell in the target tissue in response to the application of the electric field wherein the change in porosity is sufficient to facilitate entry of the desired molecule into the cell (claims 1, 21, 24); wherein the duration of the applying step is in the range of 200ms to 100 sec (claims 2 and 22); wherein the electric field comprises a square, bipolar, or sinusoidal pulse waveform (claims 6, 25) and wherein the electric field comprises a pulse comprising a combination of at least two of the indicated pulse waveforms (claim 26); wherein the injection step is by syringe injection (claims 8, 27); wherein the target tissue is skin or tumor tissue (claims 10, 28).

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Specifically, Dimmer et al. teaches a method for delivering an agent such as a nucleic acid into a cell of a target tissue (such as skin or tumor tissue) using an electric signal that has a bipolar waveform (e.g., see abstract), wherein the agent is injected directly by needle and syringe (e.g., see column2 lines 24-27), wherein the electric signal can have a bipolar, square or sinusoidal waveform (e.g., see column 5, lines 35-36; column 8, lines 17-30), wherein the electric signal can be a plurality of electric signals (e.g., see column 9, lines 10-16) wherein the electric field(s) are in the range of 1mV/cm to 200V/cm (e.g., 25V/cm or 100V/cm see column 10, lines 29-42); wherein the electric field is applied for a duration of 200ms-20minutes (e.g., most preferably about 50µs-400ms see column 10, lines 54-60). (Also see column 13, lines 7-7-

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19; column 14, lines 21-23; column 23, lines 1-11; column 24, lines 43-50; column 29, lines 12-15; claims 1, 10, 11, 16, 17, 25).

Dimmer also teaches applying an agent movement signal having a potential of about 5V-200V and more preferably about 10V-100V, having a duration of the agent movement signal of preferably about 100µs-10 seconds. Therefore, Dimmer teaches administration of an electric signal that meets the voltage and duration limitations of the claims. As such, the administration of the "agent movement signal" as described by Dimmer, would necessarily have the same result as the claimed method. In other words, since the agent movement signal taught by Dimmer meets the voltage and duration limitations of the claims it must have the same effect on the cells. Thus, application of the agent movement signal, as described by Dimmer would necessarily result in a change in the porosity of the cell sufficient to facilitate entry of the desired molecule into the cell.

Applicant is reminded that MPEP 2112.01 teaches, "Where the claimed and prior art products are identical or substantially identical in structure or composition, or are produced by identical or substantially identical processes, a prima facie case of either anticipation or obviousness has been established. In re Best, 562 F.2d 1252, 1255, 195 USPQ 430, 433 (CCPA 1977). 'When the PTO shows a sound basis for believing that the products of the applicant and the prior art are the same, the applicant has the burden of showing that they are not.'"

Therefore, Dimmer et al. anticipates the instant claims.

Response to Arguments

2. Applicant's arguments filed 5/2/07 have been fully considered but they are not persuasive.

Applicants argue that instant claims 1, 21 and 24 are limited to a "continuous electric field..." and assert that this means a *single* electric pulse in the range and duration indicated.

In response, it is respectfully pointed out that that claim 1 is drawn to a method that "consists essentially of... applying... an electric field consisting of a single continuous electric field...". It is respectfully noted that MPEP 2111.03 states:

For the purposes of searching for and applying prior art under 35 U.S.C. 102 and 103, absent a clear indication in the specification or claims of what the basic and novel characteristics actually are, "consisting essentially of" will be construed as equivalent to "comprising." See, e.g., PPG, 156 F.3d at 1355, 48 USPQ2d at 1355.

Since there is no evidence in the specification or claims that the presence of additional electric pulses would materially affect the basic and novel characteristic of the claimed method, the phrase "consisting essentially of" can be construed as equivalent to "comprising". Therefore, the claim is not, necessarily limited to a single electric pulse as asserted by Applicants.

Furthermore, it is pointed out that claims 21 and 24 are drawn to a method "comprising... applying a continuous electric field..." (claims 21), "wherein the applying step comprises applying a plurality of substantially continuous electric pulses..." (claim 24). Therefore, claims 21 and 24 are clearly not limited to applying only a single electric pulse, but also explicitly encompass applying a "plurality of substantially continuous electric pulses".

Applicants argue that Dimmer does not teach applying an electric field consisting of a single continuous electric field in the range of lmV/cm to 200V/em for a duration of 200ms to 20 minutes. Rather, applicants contend, Dimmer describes applying an electroporation signal having a total electroporation signal duration that is preferably less than about 10 seconds, more preferably about 30µs-10 seconds, even more preferably about 30µs-lms and most preferably

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about 50µs-400ms, wherein the total electroporation signal duration is comprised of the individual durations of each of the plurality of therapeutic electrical signals within the electroporation signal, wherein each of the plurality of therapeutic electrical signals has a pulse duration of less than about 50µs.

In response, it is respectfully pointed out that the instant claims are not necessarily limited to applying a single electric field, as assert by applicants, but may comprise applying additional electric fields, for the reasons indicated above.

Applicants contend that the Office is improperly combining the parameters of the "total electroporation signal duration" and the "therapeutic electrical signals" as described by Dimmer to arrive at the claim limitations of the instant invention. Applicants assert that therapeutic electrical signals, as described by Dimmer at col. 10, line 11-19, preferably have a pulse duration of less than about 50µs, more preferably have a pulse duration of less than about 12.5µs and most preferably a pulse duration of less than about 5µs.

In response, it is respectfully pointed out that Applicants are focusing on the "preferable" pulse duration. As previously indicated, and acknowledged by the Applicants, Dimmer explicitly indicates, and claims that the total electroporation signal duration is preferably less than about 10 seconds, more preferably about 30µs-10 seconds, even more preferably about 30µs-lms and most preferably about 50µs-400ms (see column 10, lines 50-59; claim). Furthermore Dimmer teaches and explicitly claims that the therapeutic electric signal is comprised of 1 to 1,000,000 pulses (e.g., see claim 5). Also see col. 10, lines 60-61 which explicitly indicates "when the electroporation signal includes pulse, the total number of bipolar pulses is preferably 1 to 1,000,000." Therefore, although Dimmer may indicate that the

preferable duration of the therapeutic signal is less than about 50µs, Dimmer does contemplate that the "total signal duration" is most preferably about 50µs-400ms. Since Dimmer explicitly teaches that the number of therapeutic signals can be 1 (see claim 5), Dimmer does indicate that the total signal duration of a single therapeutic signal can be for a duration of about 50µs-400ms.

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Applicants argue that the agent movement signal taught by Dimmer does not affect a change in porosity of the cell, thus it does not anticipate the claims. Applicants argue that claim 1 is a process claim not a product claim and assert that the Office has not properly applies MPEP 2112.01 and should apply the guidelines of MPEP 2112.02.

In response, the method steps of applying the agent movement signal taught by Dimmer, meet all of the steps of the claimed method. As such, the agent movement signal must result in the same outcome as the claimed method, regardless of whether or not Dimmer recognized the recognized the outcome (MPEP 2112.01). It is noted that there is no indication in MPEP 2112.01 that it applies only to products, as applicants appear to indicate.

Conclusion

- 3. No claim is allowed.
- 4. This is a RCE of applicant's earlier application. All claims are drawn to the same invention claimed in the earlier application and could have been finally rejected on the grounds and art of record in the next Office action if they had been entered in the earlier application.

 Accordingly, THIS ACTION IS MADE FINAL even though it is a first action in this case.

See MPEP § 706.07(b). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no, however, event will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to J. Eric Angell whose telephone number is 571-272-0756. The examiner can normally be reached on Monday-Thursday 8:00 a.m.-6:00 p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James Douglas Schultz can be reached on 571-272-0763. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/J. E. Angell/ Primary Examiner AU 1635